

Confirmation of Tax Residency Status under US Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS).

Please read these instructions before completing the form.

In 2010, the United States of America ("US") passed legislation called the Foreign Account Tax Compliance Act ("FATCA"). FATCA requires all Financial Institutions located outside of the US to identify customers who are US persons and where required to share this information with the US tax authority, the Internal Revenue Service ("IRS"). Subsequently, the Central Bank of Bahrain ("CBB") announced that Kingdom of Bahrain had entered into a Model 1 intergovernmental agreement ("IGA") with the US and has been included in the US Department of the Treasury's list of jurisdictions that are treated as having an IGA in effect, in relation to implementation of FATCA. FATCA requires banks in Kingdom of Bahrain to report and provide information of their account holders who are US Persons, and hence, kindly confirm your US Tax Residency status by completing this form as part of ICICI Bank's account opening procedure(s). If you are a U.S. citizen or tax resident under U.S. law, you should indicate that you are a U.S. tax resident on this form and you may also need to fill in an IRS W-9 form.

"Regulations based on the OECD Common Reporting Standard ("CRS")" require "Financial Institutions" to collect and report certain information about an account holder's tax residence. Each jurisdiction has its own rules for defining tax residence, and jurisdictions have provided information on how to determine if you are resident in the jurisdiction on the following website: [OECD AEOI Portal]. In general, you will find that tax residence is the country/jurisdiction in which you live. Special circumstances may cause you to be resident elsewhere or resident in more than one country/jurisdiction at the same time (dual residency).

For more information on tax residence, please consult your tax adviser or the information at the OECD automatic exchange of information portal. (<http://www.oecd.org/tax/transparency/automaticexchangeofinformation.htm>)

If your tax residence (or the account holder, if you are completing the form on their behalf) is located outside Bahrain, we may be legally obliged to pass on the information in this form and other financial information with respect to your financial accounts to the competent authority in Kingdom of Bahrain and they may exchange this information with tax authorities of another jurisdiction or jurisdictions pursuant to intergovernmental agreements to exchange financial account information.

You can find summaries of defined terms such as an account holder, and other terms, in the Appendix.

This form will remain valid unless there is a change in circumstances relating to information, such as the account holder's tax status or other mandatory field information, that makes this form incorrect or incomplete. In that case you must notify us and provide an updated self-certification.

This form is intended to request information consistent with local law requirements.

Please fill in this form if you are an individual account holder, sole trader or sole proprietor.

For joint or multiple account holders, use a separate form for each individual person. Where you need to self-certify on behalf of an entity account holder, do not use this form. Instead, you will need an "Entity tax residency self-certification." Similarly, if you are a controlling person of an entity, please fill in a "Controlling person tax residency self-certification form" instead of this form.

If you are filling in this form on behalf of someone else.

Please tell us in what capacity you are signing in Part 3. For example, you may be the custodian or nominee of an account on behalf of the account holder, or you may be completing the form under a power of attorney. A legal guardian should complete the form on behalf of an account holder who is a minor.

As a financial institution, we are not allowed to give tax advice.

Your tax adviser may be able to assist you in answering specific questions on this form. Your domestic tax authority can provide guidance regarding how to determine your tax status. You can also find out more, including a list of jurisdictions that have signed agreements to automatically exchange information, along with details about the information being requested, on the OECD automatic exchange of information. (<http://www.oecd.org/tax/transparency/automaticexchangeofinformation.htm>)

Title: Mr. Mrs. Master Miss

Name of Individual	
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Declaration

Part 1 – Tax Residence Information

Date of birth : Citizenship :
Place of birth :

<p>Current residential address</p> <p>House/ Apt/ Suite name, number : Town/ City/ Province/ State : Country : Postal code/ Zip code :</p>	<p>Mailing address</p> <p>House/ Apt/ Suite name, number : Town/ City/ Province/ State : Country : Postal code/ Zip code :</p>												
<p>Please indicate the tax residency in the below table. If resident in more than one country, please provide all countries and associated tax identification numbers. (If the Account Holder is tax resident in more than three countries / jurisdictions, please use a separate sheet)</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 5%;"></th> <th style="width: 65%;">Country of tax residence</th> <th style="width: 30%;">TIN</th> </tr> </thead> <tbody> <tr> <td>1</td> <td></td> <td></td> </tr> <tr> <td>2</td> <td></td> <td></td> </tr> <tr> <td>3</td> <td></td> <td></td> </tr> </tbody> </table> <p>Note: If you have indicated above tax residence in the United States[i], please also provide a completed and signed Form W-9.</p>			Country of tax residence	TIN	1			2			3		
	Country of tax residence	TIN											
1													
2													
3													
<p>If a TIN is unavailable please provide the appropriate reason A, B or C where indicated below: (Provide if TIN not available for any of the aforementioned countries).</p> <p><input type="checkbox"/> A. The country/jurisdiction where the Account Holder is resident does not issue TINs to its residents.</p> <p><input type="checkbox"/> B. The Account Holder is otherwise unable to obtain a TIN or equivalent number. (Please explain why you are unable to obtain a TIN in the below table if you have selected this reason)</p> <p><input type="checkbox"/> C. No TIN is required. (Only select this reason if the domestic law of the relevant jurisdiction does not require the collection of the TIN issued by such jurisdiction)</p> <p>Please explain in the following box why you are unable to obtain a TIN if you selected Reason B above:</p> <div style="border: 1px solid black; height: 40px; margin-top: 5px;"></div>													

Part 2 – FATCA Certification:

Main/ Joint Applicant (please tick)	
Please tick [✓] only one box	
Either	
<input type="checkbox"/>	I confirm that I am a "US Person" ^{** note} If you are a US person, please provide your US Taxpayer Identification number (TIN): _____ You: _____ will also need to complete the W-9 Form and provide necessary supporting documents.
or	
<input type="checkbox"/>	I confirm that I am not a "US Person" ^{** note}
<small>*Note: Definition of 'US Person' The Definition should be interpreted in accordance with the section 1471 through 1474 of the code (commonly known as Foreign Account Tax Compliance Act or FATCA).</small>	
<small>Note: For purposes of this Self Certification, tax resident in the United States includes US persons. US person is defined as a US citizen or resident individual, a partnership or corporation organised in the US or under the laws of the US or any State thereof, a trust if (i) a court within the US would have authority under applicable law to render orders or judgments concerning substantially all issues regarding administration of the trust, and (ii) one or more US persons have the authority to control all substantial decisions of the trust, or an estate of a decedent that is a citizen or resident of the US.</small>	

Part 3 – Declarations and Signature*

I understand that the information supplied by me is covered by the full provisions of the terms and conditions governing the Account Holder's relationship with ICICI Bank Limited, Bahrain Branch setting out how ICICI Bank Limited, Bahrain Branch may use and share the information supplied by me.	
I acknowledge that the information contained in this form and information regarding the Account Holder and any Reportable Account(s) may be provided to the tax authorities of the country/jurisdiction in which this account(s) is/are maintained and exchanged with tax authorities of another country/jurisdiction or countries/jurisdictions in which the Account Holder may be tax resident pursuant to intergovernmental agreements to exchange financial account information.	
I certify that I am the Account Holder (or am authorised to sign for the Account Holder) of all the account(s) to which this form relates.	
I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.	
I undertake to provide ICICI Bank Limited, Bahrain Branch within 30 days of any change in circumstances which affects my / our FATCA / CRS status or causes the information contained herein to become incorrect or incomplete, and to provide ICICI Bank Limited, Bahrain Branch with a suitably updated self-certification and Declaration within 30 days of such change in circumstances.	
I undertake to provide ICICI Bank Limited, Bahrain Branch, the FATCA / CRS declaration form as and when asked by the Bank.	
Signature	:* _____
Print name	:* _____
Date	:* _____
Note: If you are not the Account Holder please indicate the capacity in which you are signing the form. If signing under a power of attorney please also attach a certified copy of the power of attorney.	
Capacity	:* _____

For Office use only

Customer ID : _____

Account Number : _____

I hereby confirm that applicant is US person/Not a US Person and all indicia have been checked and necessary documents are collected to in case of curing required.

Indicia

S. No.	Parameters	Main Applicant (Yes/No)	
1	US Citizenship	<input type="checkbox"/> Yes	<input type="checkbox"/> No
2	US Place of birth	<input type="checkbox"/> Yes	<input type="checkbox"/> No
3	Country of residence for tax purpose as US	<input type="checkbox"/> Yes	<input type="checkbox"/> No
4	US Telephone number	<input type="checkbox"/> Yes	<input type="checkbox"/> No
5	SI to pay money to account maintained in US	<input type="checkbox"/> Yes	<input type="checkbox"/> No
6	Current POA agreement to a person with US address	<input type="checkbox"/> Yes	<input type="checkbox"/> No
7	Address with "in care of" or "Hold mail" held in US	<input type="checkbox"/> Yes	<input type="checkbox"/> No

Supporting documents to be provided along with application form

Customer Types	Additional information and Curing documentation
U.S. Person	IRS form W-9 U.S. passport or U.S. Identity Card
Foreign persons where place of birth is in U.S.	IRS form W-8BEN or self-certification form A copy of the Account Holder's Certificate of Loss of Nationality of the U.S. or a reasonable explanation of: I. the reason the Account Holder does not have such a certificate despite relinquishing U.S. citizenship; or II. The reason the Account Holder did not obtain U.S. citizenship at birth. Any one document (in original or certified copy form) provided in section "Documentary evidence"
Foreign persons where US Indicia other than U.S. place of birth is found	W-8BEN or self-certification form Any one document (in original or certified copy form) provided in section "Documentary evidence"

Documentary evidence

Non-U.S. passport or other government-issued identification evidencing the Account Holder's citizenship or nationality in a country other than the United States (U.S.);

A Certificate of Residence issued by an authorized government body (for example, a government or agency thereof, or a municipality) of the jurisdiction in which the account holder claim to be a resident.

Any valid identification issued by an authorised Government body (for example, a government or agency thereof, or a municipality), that includes the individual's name and is typically used for identification purposes.

Any financial statement, third party credit report, bankruptcy filing, or US Securities and Exchange Commission report.

I hereby confirm that I have conducted a reasonableness test based on the below parameters and where applicable have sought reasonable explanation for not possessing a TIN.

Indicia for CRS

S. No.	Parameters	Main Applicant (Yes/No)	
1	Current mailing / resident /permanent address outside Bahrain	<input type="checkbox"/> Yes	<input type="checkbox"/> No
2	Country of residence for tax purpose other than Bahrain	<input type="checkbox"/> Yes	<input type="checkbox"/> No
3	Non Bahrain Telephone number	<input type="checkbox"/> Yes	<input type="checkbox"/> No
4	SI to pay money to account maintained at any place other than Bahrain	<input type="checkbox"/> Yes	<input type="checkbox"/> No
5	Current POA agreement to a person with a non Bahrain address	<input type="checkbox"/> Yes	<input type="checkbox"/> No
6	Address with "in care of" or "Hold mail" held in a non-Bahrain Country.	<input type="checkbox"/> Yes	<input type="checkbox"/> No

Account opening form scrutinized by: Employee No. : _____

Signature of Employee : _____

For Back-office only

Account opening form checked by: Employee No. : _____

Signature of Employee : _____

Appendix – Summary Descriptions of Select Defined Terms

Note: These are selected summaries of defined terms provided to assist you with the completion of this form. Further details can be found within the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information (the CRS"), the associated Commentary to the CRS, and domestic guidance. This can be found at the OECD automatic exchange of information portal.

(<http://www.oecd.org/tax/transparency/automaticexchangeofinformation.htm>)

If you have any questions then please contact your tax adviser or domestic tax authority.

"Account Holder" The term "Account Holder" means the person listed or identified as the holder of a Financial Account. A person, other than a Financial Institution, holding a Financial Account for the benefit of another person as an agent, a custodian, a nominee, a signatory, an investment advisor, an intermediary, or as a legal guardian, is not treated as the Account Holder. In these circumstances that other person is the Account Holder. For example in the case of a parent/child relationship where the parent is acting as a legal guardian, the child is regarded as the Account Holder. With respect to a jointly held account, each joint holder is treated as an Account Holder.

"Reportable Person" A Reportable Person is defined as an individual who is tax resident in a Reportable Jurisdiction under the tax laws of that jurisdiction. Dual resident individuals may rely on the tiebreaker rules contained in tax conventions (if applicable) to solve cases of double residence for purposes of determining their residence for tax purposes.

"TIN" (including "functional equivalent") The term "TIN" means Taxpayer Identification Number or a functional equivalent in the absence of a TIN. A TIN is a unique combination of letters or numbers assigned by a jurisdiction to an individual or an Entity and used to identify the individual or Entity for the purposes of administering the tax laws of such jurisdiction.

Further details of acceptable TINs can be found at the OECD automatic exchange of information portal.

(<http://www.oecd.org/tax/transparency/automaticexchangeofinformation.htm>)

Note: These are selected summaries of defined terms provided to assist you with the completion of this form. Further details can be found within the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information (the CRS"), the associated Commentary to the CRS, and domestic guidance. This can be found at the OECD automatic exchange of information portal.

(<http://www.oecd.org/tax/transparency/automaticexchangeofinformation.htm>) If you have any questions then please contact your tax adviser or domestic tax authority. Some jurisdictions do not issue a TIN. However, these jurisdictions often utilise some other high integrity number with an equivalent level of identification (a "functional equivalent"). Examples of that type of number include, for individuals, a social security/insurance number, citizen/personal identification/service code/number, and resident registration number.